

SAMPLE GUIDELINES FOR RISK-BASED SANCTIONS

		General Population			Sex Offender			DV Probationer		
Low Severity	Failure to complete DNA testing per 296 PC	1	1	1	2	2	2	1	1	1
	Failure to pay fines and fees	1	1	1	1	1	1	1	1	1
	Failure to complete community service hours	1	1	1	1	1	1	1	1	1
	Failure to attend drug/alcohol assessment evaluation	1	1	1	2	2	2	1	1	1
	Failure to seek or maintain steady employment	1	1	1	1	1	1	1	1	1
	Failure to follow curfew hours	1	1	1	3	3	3	1	1	1
	Failure to report employment changes	1	1	1	3	2	2	1	1	1
	Failure to report by telephone	2	1	1	3	2	2	2	1	1
	Failure to report new police contact	2	1	1	3	2	2	2	1	1
	Failure to report for office visit	2	1	1	3	2	2	2	1	1
Buying, selling, possessing, or consuming alcohol	2	1	1	2	1	1	2	1	1	
Entering an establishment where alcohol is sold	2	1	1	2	1	1	2	1	1	
Failure to stay away from specified associates	2	1	1	3	3	3	2	1	1	
Failure to avoid known gang members or persons	2	1	1	2	1	1	2	1	1	
Failure to avoid areas where gang members congregate	2	1	1	2	1	1	2	1	1	
Failure to pay restitution (3 consecutive months)	2	2	2	2	2	2	2	2	2	
Failure to attend EBP program as directed by PO	2	2	2	2	2	2	2	2	2	
Failure to report current place of residence	2	2	2	4	4	4	2	2	2	
Failure to obey the reasonable directive of PO	2	2	2	2	2	2	2	2	2	
Failure to surrender at County Jail	2	2	2	3	3	3	2	2	2	
Failure to report after release from County Jail	2	2	2	3	3	3	2	2	2	
Failure to submit to a breath analysis or urinalysis	2	2	2	2	2	2	2	2	2	
Failure to stay away from specified location	2	2	2	3	3	3	2	2	2	
Positive breath analysis or urinalysis	2	2	2	2	2	2	2	2	2	
Failure to install Ignition Interlock	3	2	2	n/a	n/a	n/a	n/a	n/a	n/a	
Confirmed non-compliance with Ignition Interlock	3	2	2	n/a	n/a	n/a	n/a	n/a	n/a	
Failure to enroll in a Court ordered program	3	3	3	3	3	3	3	3	3	
Deny access to residence and/or search	3	3	3	3	3	3	3	3	3	
Non-compliance with Electronic Monitoring Program	3	3	3	3	3	3	3	3	3	
Failure to report at DRC	3	3	3	3	3	3	3	3	3	
Failure to complete registration (sex, arson, drugs, gang)	3	3	3	4	4	4	3	3	3	
Possession or narcotics, drugs and other contraband	4	4	4	4	4	4	4	4	4	
Failure to complete a Court-ordered program	4	4	4	4	4	4	4	4	4	
Non-compliance with Global Positioning Satellite	4	4	4	4	4	4	4	4	4	
Failure to stay away from child safety zone	n/a	n/a	n/a	4	4	4	n/a	n/a	n/a	
Failure to stay away from victim	4	4	4	4	4	4	4	4	4	
Failure to not annoy, harass, threaten or strike victim	4	4	4	4	4	4	4	4	4	
Possession of a firearm or prohibited weapon	4	4	4	4	4	4	4	4	4	

Table 1

(LEAST SEVERE)	Level 1 Sanctions	Behavioral Contract	PO has authority to choose from level 1 or 2 Sanctions without Supervisory approval so long as PO follows Guidelines for Graduated Violations and Sanction <u>does not</u> involve jail time.
		Offender submits itinerary	
		Refer to NA or AA meetings	
		Increase in number of NA or AA meetings attendance	
		More restrictive curfew	
		Community Service hours	
		Verbal admonishment by the probation officer	
		Re-referral to alcohol or drug education program	
		Re-referral to evidence based program	
		Thinking Report/Cost Benefit Analysis	
	Letter of Apology		
	Level 2 Sanctions	Verbal admonishment by the supervisor	
		Increase in the number of Community Service hours	
Referral to WorkNet			
Referral to Educational Services			
Referral to Aggression Replacement Training (ART)			
Referral to Moral Reconciliation Training (MRT)			
Referral to Thinking for a Change (T4C)			
Referral to Common Sense Parenting			
Referral to Outpatient Substance Abuse Training (OSAT)			
Referral to Psychological Evaluation			
Level 3 Sanctions	Increase in the frequency of alcohol and drug testing		
	Increase in the frequency of telephone, office, or home visits		
	Written Reprimand		
	Increase level of supervision	Supervisor Review (Must follow required Court Procedures – e.g., Amended Conditions)	
	Electronic Monitoring commitment		
	Global Positioning Satellite (GPS) commitment		
	Residential treatment		
	Extension of probation term		
	Amend conditions with added restriction/requirements		
	Inclusion of Ignition Interlock System		
Inclusion of driving restriction			
Increase in the number of community service hours			
Daily call-ins			
Level 4 Sanctions	Referral to the Day Reporting Center	Review with Revocation Review Committee	
	Referral to the Compliance Court Re-Entry Program		
(MOST SEVERE)	Level 4 Sanctions	Referral to Drug Court	
		Issuance of a Bench Warrant	
		Increased level of supervision with zero tolerance	
		Extension of Probation term	
		Flash Incarceration (PRCS and LCS only)	
		Jail time as condition of probation	
		Jail time as condition of probation	
		Jail time as condition of probation	
		Jail time as condition of probation	
		Jail time as condition of probation	
Jail time as condition of probation			

Table 2: Sanctions

	Behavior	Suggested Responses
Level 1 Incentive	Keeping appointment	Verbal affirmation
	Completing registration requirement	Note card with message
	Enrolling in program	Reward jar
	Engaging with family	Tracking progress/successes
	Applying for benefits	
	Securing transportation	
	Being present at Court hearing	
	Using pro-social communication	
	Improved social skills	
	Improved physical health/hygiene	
	Wearing neutral colors	
	Diminished use of profanity	
	Positive collateral contacts/reports	
Level 2 Incentive	Sobriety (3 clean tests)	Achievement Jar
	New Pro-social activity	Travel Pass
	Program attendance/participation	Character Coupon
	Making restitution/fine payment (3 mos.)	Decreased testing
	Associating with pro-social peers	Curfew changes
	Positive attitude	Verbal affirmation by supervisor
	Coping skills	Note card with message
	Stable relationships	
	Dealing with difficult situations well	
	Regular reporting for office visits for 3 mos.	
	Volunteering at child's school/activities	
	Participation in a community activity	
	Honesty	
Displaying appreciation for others		
Expressing genuine remorse		
Complete EBP Program		
Level 3 Incentive	Tattoo removal	Decreased frequency of reporting
	Taking prescription medication	Reduced level of supervision
	Obtaining a driver's license	Storyboard – Wall of Fame
	Violation free for 6 months	Certificate of Accomplishment
	Phasing up in a program	Letter of Support
	Enrolling in education/vocational program	Program scholarships
	Getting a job	Positive feedback to Court
	Secure and maintain housing	Informal Ceremony
		Verbal affirmation by administrator
		Gift card
Level 4 Incentive	High School Diploma/GED	Early termination
	Completing a court-ordered program	Amend to informal probation
	Case plan goal/objective completion	Transfer to unsupervised caseload
	Membership in organization	Public recognition
	Self-sufficiency	Gift card
	Sobriety (1 year)	Graduation Ceremony
Complete the DRC		

Table 3: Incentives